

# Lecture 15: Secondary Memory Algorithms

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- 1 Sorting Big Data
- 2 Memory I/O wrt Disk Access

## Sorting Big Data

# Sorting Big Data

- All different sorting algorithms
- The favorite quick sorting in the past
- Extension to partition sort
- Locality efficiency in computation.
- Sorting competition: <http://sortbenchmark.org>

## Memory I/O wrt Disk Access

# Disk Data Transfer to Memory

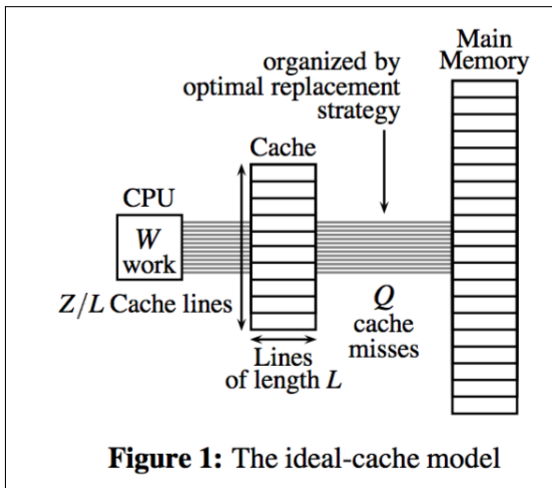
- $B$ -tree model
  - RAM size  $M$ , transfer block size  $B$ , data size  $N$ .
- Operations/complexity
  - Data transfer:  $N/B$  i/o steps
  - Inset and query:  $\log_B N$
- Searching is  $\log_2 B$  faster than binary search tree.

# Ideal-Cache Model

- Ideal cache of size  $Z$  words.
- Cache lines (page size) of  $L$  words moved together
- Tall Memory:  $Z = \Omega(L^2)$ .
- Cache Complexity  $Q(n)$ : The number of cache misses.
- Work complexity  $w(n)$ : the total number of operations.

REFERENCE: Matteo Frigo Charles E. Leiserson Harald Prokop  
Sridhar Ramachandran, Cache-Oblivious Algorithms.

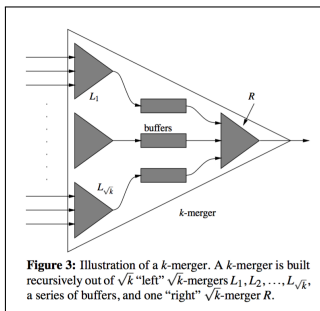
# Ideal-Cache Model Illustration





# Funnelsort

- Split input into  $n^{1/3}$  contiguous arrays of size  $n^{2/3}$ , sort them recursively.
- Merge  $n^{1/3}$  sorted subsequence using a  $n^{1/3}$ -merger, described next.



**Figure 3:** Illustration of a  $k$ -merger. A  $k$ -merger is built recursively out of  $\sqrt{k}$  "left"  $\sqrt{k}$ -mergers  $L_1, L_2, \dots, L_{\sqrt{k}}$ , a series of buffers, and one "right"  $\sqrt{k}$ -merger  $R$ .

# k-Merger

- Recursively merging sorted sequences
- It suspends merging when output sequence becomes "long enough"
- resumes work on another merging subproblem
- See Figure 3, it has an invariant:
  - Each invocation of k-merger outputs the next  $k^3$  elements of the sorted sequence obtained by merging the  $k$  input sequences, each of size  $k^2$ .

# The Structure of $k$ -Merger in Figure 3

- Input:  $\sqrt{k}$  sets of  $\sqrt{k}$  elements in left
- merged into  $\sqrt{k}$  buffers of size  $2k^{3/2}$  in the middle
- connecting to the  $\sqrt{k}$  inputs of the  $\sqrt{k}$  merger  $R$  in the right
- which is invoked  $k^{3/2}$  times to output  $k^3$  elements.
- Each left  $\sqrt{k}$  merger outputs  $k^{3/2}$  elements on each invocation

# Properties

- $k$ -merger occupies  $O(k^2)$  contiguous memory locations
  - $S(k) \leq S(\sqrt{k}) * (1 + \sqrt{k}) + 2k^{3/2} * \sqrt{k} = O(k^2)$
- Performing  $r$  insert and remove operations on a circular queue causes in  $O(1 + r/L)$  cache misses as long as two cache lines are available for the buffer.
  - The subsequence is already sorted. We will have no more miss for  $L - 1$  inserts/deletes after we have had one miss.
- If  $Z = \Omega(L^2)$  then  $Q_M = O(1 + 2 + k^3/L + k^3 \log_2 k/L)$

# Bounding Cache Misses

- Case 1:  $k < \alpha\sqrt{Z}$ .
  - a  $k$ merger fits in the contiguous memory and can upload all  $O(k^3)$  elements.
  - Tall cache assumption implies  $L = O(\sqrt{Z})$  and The number of pages  $Z/L = \Omega(k)$ .
  - #misses on  $r_i$  operations is  $\leq 1 + r_i/L$ :  $r_i$  be # of elements extracted from  $i$ -th input queue,
  - Implying  $\sum_{i=1}^k O(1 + r_i/L) = O(k + \frac{k^3}{L})$ .
- Case 2:  $k > \alpha\sqrt{Z}$ .
  - Proving  $Q_M(k) \leq ck^3 \log_Z k/L - A(k)$  for  $A(k) = k(1 + 2c \log_Z k/L) = o(k^3)$ , by induction.

## Bounding Cache Misses Case 2: $k > \alpha\sqrt{Z}$

- base case:  $\alpha Z^{1/4} < k < \alpha\sqrt{Z}$ .
  - As above,  $Q_M(k) = O(1 + k + k^3/L) = O(k^3/L)$ . The claim follows
- general case:  $\alpha Z^{1/4} < \sqrt{k} < k$ .
  - Claim holds for  $\sqrt{k}$ -mergers:  $Q_M(\sqrt{k}) = O(1 + \sqrt{k} + k^{3/2}/L)$
  - Right merger  $R$  is invoked  $k^{3/2}$  times.
  - Total number  $l$  of left merger invocation is no more than  $l \leq k^{3/2} + 2\sqrt{k}$ .
  - Each invocation of the right merger takes  $\sqrt{k}$  checks to find out the emptiness of each buffer, which results in  $\sqrt{k}$  misses each of the  $k^{3/2}$  invocations, totaling  $k^2$  misses.
  - $Q_M(k) \leq (2^{3/2} + 2\sqrt{k})Q_M(\sqrt{k}) + k^2$ .

# Solving Recursion

$$\begin{aligned} Q_M(k) &\leq (2k^{3/2} + 2\sqrt{k}) Q_M(\sqrt{k}) + k^2 \\ &\leq 2(k^{3/2} + \sqrt{k}) \left[ \frac{ck^{3/2} \log_Z k}{2L} - A(\sqrt{k}) \right] + k^2 \\ &\leq ck^3 \log_Z k / L + k^2 (1 + c \log_Z k / L) \\ &\quad - (2k^{3/2} + 2\sqrt{k}) A(\sqrt{k}) \\ &\leq ck^3 \log_Z k / L - A(k) . \square \end{aligned}$$

# Exercise

- Compute the number of computation in the merge sort discussed in the above.
- Compute the number of misses in a quick sort solution in the secondary memory algorithm.
- Do the same for bulb sort.